

Purpose

The major purposes of the NSF Ship Inspection Program are:

- 1. To assure that the capabilities of the research vessel and technical support meet accepted scientific community standards and expectations;
- 2. To assure the seaworthiness and safety of research vessels supported by NSF meet or exceed the standards set forth by the UNOLS Research Vessel Safety Standards (RVSS), and applicable requirements of the International Maritime Organization, American Bureau of Shipping (ABS), the Code of Federal Regulations (CFR), and the U.S. Coast Guard;
- 3. To ensure NSF-owned ships as capital assets, are being adequately maintained;
- 4. To ensure NSF-funded science is scheduled on properly outfitted and maintained vessels.



Recently Completed

- USCG POLAR STAR · Seattle, WA
- RV MARCUS LANGSETH · Astoria, OR

- RV POINT SUR · Moss Landing, CA
 - RV NEW HORIZON · San Diego, CA RV ROGER REVELLE · San Diego, CA

RV BLUE HERON · Duluth, MN

RV HUGH SHARP · Lewes, DE

RV ATLANTIC EXPLORER · Bermuda

RV KILO MOANA · Honolulu, HI



RV PELICAN · Cocodrie, LA

Upcoming

RV BARNES (Oct) · Seattle, WA
USCG POLAR STAR · Seattle, WA RV MARCUS LANGSETH · Astoria, OR

RV BLUE HERON · Duluth, MN

RV SIKULIAQ (Sept) · Marinette, WI

RV HUGH SHARP · Lewes, DE

RV POINT SUR · Moss Landing, CA

RV NEW HORIZON · San Diego, CA RV ROGER REVELLE · San Diego, CA

RV ATLANTIC EXPLORER Bermuda _ RV SAVANNAH (Nov) · Savannah, GA 💎

RV PELICAN · Cocodrie, LA

RV KILO MOANA · Honolulu, HI

RV WALTON SMITH (Dec) · Miami, FL 💎



RVSS Appendix A Compliance:

Appendix A Assist Summary for Each Wire or Cable

| Appendix A Assist Summary for Each | ch Wire | or Cab | ole (upo | dated 3 | _14_20° | 12 JMS/wec) |
|--|---------------------------|------------------------------|------------------------------|------------------------------|------------|-------------|
| Note: This is not all inclusive. See Appendix A Rev 1for requirements. | Selec | t Applica | ble Colun | nn FS | | · |
| Requirement or Attribute | FS of 5.0 or higher | FS from 2.5 to 4.99 | FS from 2.0 to 2.49 | FS from 1.5 to 1.99 | FS= | Comments |
| Post Cable/Wire SWL in clear view of the winch operator (good practice) | Applies | Applies | Applies | Applies | Y/N | |
| Determine Cable/Wire Safe Working Load (SWL) as: Assigned Breaking Load / Factor of Safety | Applies | Applies | Applies | Applies | Y/N | |
| Tension Monitoring Have ability to keep load < SWL: | | | | | | |
| May be calculated w/"g" factor at least 1.75 or from Tensiometer | Applies | | | | Y/N | |
| Have ability to keep load < SWL: Actual from monitoring system | - | Applies | Applies | Applies | Y/N | |
| Tensionometer display at operator's station with 3 Hz resolution | _ | Applies | | | Y/N Y/N | |
| Tensionometer display at operator's station with 10 Hz resolution | - | _ | Applies | Applies | | |
| Tension continuously monitored using a tension trending graph | - | | Applies | Applies | Y/N Y/N | |
| Tensionometer logging at 3 Hz | | Applies | | | Y/N Y/N | |
| Tensionometer logging at 20 Hz | | | Applies | Applies | | |
| Tensionometer Recalibration at least every 6 mo. | | Applies | Applies | Applies | Y/N | |
| Tension measuring system maintained with 4% accuracy | - | Applies | | | Y/N | |
| Tension measuring system maintained with 3% accuracy | _ | | Applies | Applies | Y/N Y/N | |
| Audible and visual tension alarms w/data logging Alarm at < ABL/2.8 | | Applies | | | | |
| Audible and visual tension alarms w/data logging Alarm at <abl 2.2<="" td=""><td></td><td></td><td>Applies</td><td></td><td>Y/N</td><td></td></abl> | | | Applies | | Y/N | |
| Audible and visual tension alarms w/data logging Alarm at <abl 1.7<="" td=""><td></td><td></td><td></td><td>Applies</td><td>Y/N</td><td></td></abl> | | | | Applies | Y/N | |
| Alarm conditions automatically logged | | Applies | Applies | Applies | Y/N | |
| Sheaves and Fairlead Rollers Sheaves & Rollers: As large as practical | Applies | | | | Y/N | |
| Sheaves & Rollers: D/d ratio meet 40:1 or 400d1 whichever is greater | l | Applies | Applies | Applies | Y/N | |
| Sheaves: Groves as close to d as possible and no more than 1.5d | | Applies | | <u> </u> | Y/N | |
| Sheaves: Groves per Ref A 1.1 (Groove size relative to nominal diameter of wire rope: 3/16* to 1/4* 3% to 6%; over 1/4* 2.5% to 5%) | | | Applies | Applies | Y/N | |
| Deck Safety Good safety practices | Applies | | | | Y/N | |
| Establish danger zones / safety zones | | Applies | Applies | Applies | Y/N | |
| Warning notices posted | | | Applies | Applies | Y/N | |
| Physical or visual barriers | | | Applies | Applies | Y/N | |
| Doors and accesses secured Testing | | | Applies | Applies | Y/N | |
| Tension testing up to SWL load every 2 years. Break testing not req'd at FS=5.0 | Applies | | | | Y/N | |
| Break Testing every 2 yrs | | Applies | | | Y/N | |
| Break Testing every yr if 10% decrease in ABL or cutback | | Applies | | | Y/N | |
| Break Testing every yrs | | | Applies | Applies | Y/N | |
| Break Testing every 6 mo. if 10% decrease in ABL or cutback | | | Applies | Applies | Y/N | |
| Logbooks: UNOLS wire identifier: Cable Inventory/History and Running Use | | | | | | |
| Logs stay with the wires transfer with the wire | Applies | Applies | Applies | Applies | Y/N | |
| Log of Tension Testing to SWL | Applies | | | | Y/N | |
| Log of wire Break Testing | | Applies | Applies | Applies | Y/N | |
| Log Cutbacks | Applies | Applies | Applies | Applies | Y/N | |
| Log Spooling Operations | Applies | Applies | Applies | Applies | Y/N | |
| Log of Lubrication | Applies | Applies | Applies | Applies | Y/N | |
| Wire Train Description | Applies | Applies | Applies | Applies | Y/N | |
| Maximimum load for each cast by calculation or monitoring (and payout). | Applies | Applies | Applies | Applies | Y/N | |
| Winch Operator Operator deemed competant in writing by master and owner | Applies | | | | Y/N | |
| | Applies | Applies | Applie - | Applies | Y/N Y/N | |
| Operator "Certified Competent" in writing by master and owner renewed annually. | - | | Applies | | | |
| Master verify qualifications and designate approved operators. Training record for formal operator training program for winch, handling | - | Applies | Applies | Applies | Y/N | |
| apparatus, and monitoring system. Suggestions: Please contact Ted@JMSnet.com | | Applies | Applies | Applies | Y/N | |



Common Findings: Maintaining Accuracy

Need procedure for periodically verifying accuracy.

| | | Select Applicable Column FS | | | | | |
|-------|---|-----------------------------|------------------------|------------------------|------------------------|--|--|
| Re | equirement or Attribute | FS of 5.0 or higher | FS from 2.5 to 4.99 | FS from 2.0 to 2.49 | FS from 1.5 to 1.99 | | |
| Tensi | on Monitoring | | | | | | |
| - | sion measuring system tained with 4% accuracy | | Applies | | | | |
| | sion measuring system tained with 3% accuracy | | | Applies | Applies | | |



Common Findings: Sheave and Fairlead Roller Diameter

Older Levelwinds limit FS to 5.0

| | | Select Applicable Column FS | | | | |
|---|---|-----------------------------|-------------|-------------|-------------|--|
| | Requirement or Attribute | FS of 5.0 or | FS from 2.5 | FS from 2.0 | FS from 1.5 | |
| | Requirement of Attribute | higher | to 4.99 | to 2.49 | to 1.99 | |
| | Sheaves and Fairlead Rollers | | | | | |
| | Sheaves & Rollers: As large as practical | Applies | | | | |
| | Sheaves & Rollers: D/d ratio meet 40:1 or | | Applies | Applies | Applies | |
| | 400d1 whichever is greater | | 7 (60) | | | |
| Ē | Sheaves: Groves as close to d as possible | | Applies | | | |
| 1 | and no more than 1.5d | | Дриез | | | |
| | Sheaves: Groves per Ref A 1.1 | | | | | |
| | (Groove size relative to nominal diameter | | | | | |
| | of wire rope: | | | Applies | Applies | |
| | 3/16" to 1/4" 3% to 6%; | | | | | |
| | over 1/4" 2.5% to 5%) | | | | | |



Common Findings: Log Maximum Load for Each Cast

Log maximum load for each cast. Applies to all factors of safety

| | | Select Applicable Column FS | | | | |
|---|--|-----------------------------|------------------------|------------------------|------------------------|--|
| | Requirement or Attribute | FS of 5.0 or higher | FS from 2.5 to 4.99 | FS from 2.0 to 2.49 | FS from 1.5 to 1.99 | |
| Ę | Logbooks: UNOLS wire identifier: Cable Inventory/History and Running Use | | | | | |
| | Maximimum load for each cast by calculation or monitoring. | Applies | Applies | Applies | Applies | |



Best Practices: Appendix A

Log payout per cast and payout at maximum load

| Drop# | Drop Date & Time | Maximu m Tension Per Cast (Lbs) | Maximum Payout of Each Deployme nt (Meters) |
|-------|------------------|---|---|
| 0 | 5/5/12 13:45 | 2987 | 0 |
| 1 | 5/8/12 2:34 | 1859 | 1000 |
| 2 | 5/9/12 2:06 | 1568 | 2000 |
| 3 | 5/9/12 22:06 | 2368 | 4572 |
| 4 | 5/10/12 2:08 | 1364 | 1100 |
| 5 | 5/11/12 2:41 | 2050 | 4353 |
| 6 | 5/11/2012 5:14 | 1502.9 | 1811.5 |
| 7 | 5/11/2012 19:41 | 2312.3 | 4617 |
| 8 | 5/12/2012 3:07 | 2016.9 | 4200.3 |
| 9 | 5/12/2012 23:00 | 1604.7 | 2000 |
| 10 | 5/13/2012 13:15 | 2859.1 | 4614.8 |

ATLANTIC EXPLORER



RVSS Appendix B Compliance:

System level

-Develop a test plan/ procedure -Include a line diagram

-Test the system (all components) as it is intended to be used

Appendix B Assist Sheet for Overboard Handling Systems (updated 2 9 2013 JMS/wec) This assist sheet is to access progress toward compliance with RVSS Appendix B by the compliance date of 7/15/2014

| Pa | age 1 System Level | Defenses | | |
|--------------|--|---------------|--------|---------|
| | | Reference | | |
| Overboard Ha | andling System Operator's Manual | | Y or N | |
| | | | or | |
| | rboard Handling System (OHS) Configuration | B.3.1 | NA | Comment |
| | ystem Title/Description | | Y/N/NA | |
| S | ystem Illustration or photograph (more detail | | | |
| re | equired in OHDD) | | Y/N/NA | |
| Li | ist of Components with identifying Model & Serial #s | | Y/N/NA | |
| S | ystem Overboard Handling System Data Document | | | |
| | OHDD) | B.7.3 | Y/N/NA | |
| S | ystem Maximum Capability Document including | | | |
| | ystem Maximum Permissible Tension (MPT) | B.0.6 | Y/N/NA | |
| Ō | perational Limit Document (Top Level Check of | Attachment A2 | | |
| | ssigned Breaking Load* (ABL) to System MPT). | Attachment A5 | Y/N/NA | |
| 0 | HS Testing procedures | B.6 | | |
| Ŭ | The resulting procedures | Attachment A5 | Y/N/NA | |
| ام | HS Test Logs | B.6 | | |
| U | 110 1031 2093 | Attachment A5 | Y/N/NA | |
| О | HS Training and Operator Qualification | | | |
| R | equirements | Attachment A5 | Y/N/NA | |
| | HS Inspection Procedures | B.2.16 & B.6 | | |
| ا | inspection Frocedures | Attachment A5 | Y/N/NA | |
| | OHS Operational and Safety Precautions | B.3.& B.7.2 | | |
| U | A Soperational and Salety Precautions | Attachment A5 | Y/N/NA | |
| | | B.3.7 | | |
| | HS Emergency procedures | Attachment A5 | Y/N/NA | |
| FI | low Chart with path highlighted and annotated | | | |
| w | here applicable | Attachment A2 | Y/N/NA | |
| | | | | |

^{*} Attachment A2 shows assigned breaking load (ABL) which is likely lower than NBL. Consider the greater of NBL or Tested Breaking Load (TBL).

Suggestions: Please contact Ted@JMSnet.com



RVSS Appendix B Compliance:

Component level

Appendix B Assist Sheet for Overboard Handling Systems (updated 2 9 2013 JMS/wec) This assist sheet is to access progress toward compliance with RVSS Appendix B by the compliance date of 7/15/2014

Page 2 Component & Sub-System Level

For each OHS Sys

Compone

| overboard Handling System (OHS) Component in the | | | |
|---|-------------------|--------|--------|
| em Configuration | Reference | | |
| t MCD Booklet for each component used including: | B.5 | Y/N/NA | |
| Component Maximum Capability Document (MCD) | | | |
| including: | B.5 | Y/N/NA | |
| (1) Component Maximum Permissible Tension (MPT) | B.5 | Y/N/NA | |
| (2) Component proof or analysis of the MPT, or | | | \neg |
| Manufacturer's Certification | B.3 & B.4 | Y/N/NA | |
| (3) Applicable Geometry | B.05 & B.5 & B.06 | Y/N/NA | |
| (4) Component OHDD | B.3.7 | Y/N/NA | |
| Additional contents as applicable | | | |
| (5) Footprint and bolt pattern | B.5 | | \neg |
| (0) I ootprint and boit pattern | Attachment A5 | Y/N/NA | |
| (6) Attachment loadings | B.5 & B.6 | | \neg |
| (0) Attachment loadings | Attachment A5 | Y/N/NA | |
| (7) Ship system interface requirements such as | | | |
| electrical power, hydraulics, data transfer | Attachment A5 | Y/N/NA | |
| (9) Testing procedures | B.6.1 | | |
| (8) Testing procedures | Attachment A5 | Y/N/NA | |
| (0) Tost Logs | B.6 | | |
| (9) Test Logs | Attachment A5 | Y/N/NA | |
| (10) Companent weight | B.7.2 | | |
| (10) Component weight | Attachment A5 | Y/N/NA | |
| (11) Overall dimensions | Attachment A5 | Y/N/NA | \neg |
| (12) Equipment Operator's Manual | B.5 & B.10.1 | | \neg |
| (12) Equipment Operator's Manual | Attachment A5 | Y/N/NA | |
| (13) Training and operator qualification requirements | B.0.5 | | |
| (13) Training and operator qualification requirements | Attachment A5 | Y/N/NA | |
| (14) Inspection procedures | B.6 | | |
| (14) inspection procedures | Attachment A5 | Y/N/NA | |
| (15) Proventative maintenance | B.0.5 | | |
| (15) Preventative maintenance | Attachment A5 | Y/N/NA | |
| (16) Operational and Safety precautions | B.6 | | |
| (16) Operational and Salety precautions | Attachment A5 | Y/N/NA | |
| (17) Emergency procedures | Attachment A5 | Y/N/NA | |
| | B.3.2 & B.6.3 | | \neg |
| (18) For portable components additionally: | Attachment A5 | | |
| Sub-component inventory list | | Y/N/NA | \neg |
| Delivery check-off list | | Y/N/NA | \neg |
| | t | | - |

Y/N/NA

Suggestions: Please contact Ted@JMSnet.com



Installation instructions

Common Findings: SWL Documentation

WLL or SWL identified on a block is not the same as max permissible tension. These blocks don't indicate if the WLL is for the tension member or for the shackle/block.







Best Practice

Clear indication of SWL expressed in terms of MPT.







Common Findings: Lithium batteries

- Develop policy and procedures on how to handle lithium batteries.
- The procedures should cover
 - usage
 - storage
 - disposal
 - how to respond to emergencies
- Incorporate into the cruise planning process.
- Note: Lithium batteries should not be treated the same as lithium ion batteries. Typical portable extinguishers can be used to extinguish a lithium ion fire.



Common Findings: Fuel Efficiency

Need for a *methodical* approach to help use fuel as cost effectively as possible. Requires the ability to take dynamic action based on real-time performance data and known benchmarks. Shipboard Energy Efficiency Management Plan (IMO requirement >400GT) comprised of strategic and tactical actions.

- Examples of <u>strategic</u> actions that can be adopted:
 - Repowering
 - Advanced hull coatings
 - Optimized propeller and rudder design
 - Addition of stern wedges
 - Use of shaft generators
- Examples of tactical actions that can be adopted:
 - Trim/draft optimization
 - Speed management
 - Maintenance: Tune engine compression, u/w hull cleaning, etc...
 - Energy conservation
 - Provide crew and staff guidance and awareness training



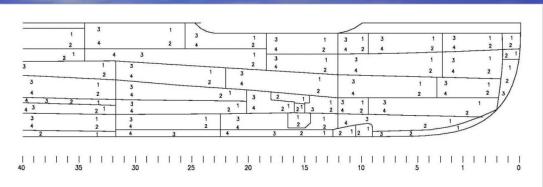
Common Findings: Shipyard Documentation

- Lack of post-shipyard documentation/reports
 - Summary of what was accomplished
 - Records of clearances, NDT, etc.
- Incomplete NDT surveys
 - "portable" equipment that hasn't moved in years (under winch foundations, A-Frame foundations, cranes
 - bilges, machinery foundations
 - internal structure webs, flanges
- Need to maintain/update shell expansion plans
 - Document readings and plate renewal



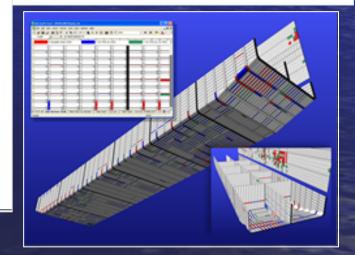
Best Practices: NDT Map







SIDE SHELL PLATING





Common Findings: Crew Endurance Management

- The ability to maintain performance within safety limits while enduring job-related physical, psychological and environmental challenges
- System for managing the risk factors that can lead to human error and performance degradation within the unique requirements of the shipboard environment
- http://www.uscg.mil/hq/cg5/cg5211/cems.asp



Common Findings: ADA

- In order to be more ADA capable vessels could make improvements in the following areas:
 - Incorporate more ADA awareness and requirements into the pre-cruise planning process.
 - Improve access to science berthing from the main deck.
 - Improve markings to access the main deck from the science berthing area.
 - Improve lighting, handrails, and retro-reflective tape in stairwells and egress routes.
 - Install visual alarms to augment audible alarms.
 - Remove obstacles in the passageways.



Common Findings: Science Safety

More than just a preunderway safety brief is needed!

- Welcome aboard
- Shipboard policies
 - Sexual harassment, drug & alcohol, environmental, etc.
- General safety training information
 - RVOC Safety Training Manual & video
- Ship specific safety items
 - Use ship photos, PowerPoint or videos
- Reinforce in the Cruise Planning Manual, ship's web site, in labs and in staterooms



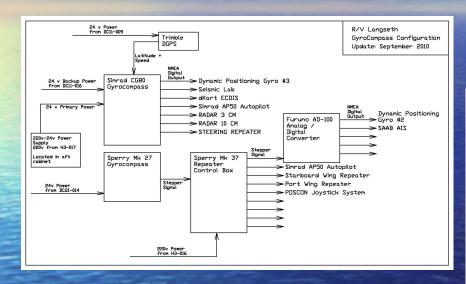
Best Practice: Hydraulic Hoses

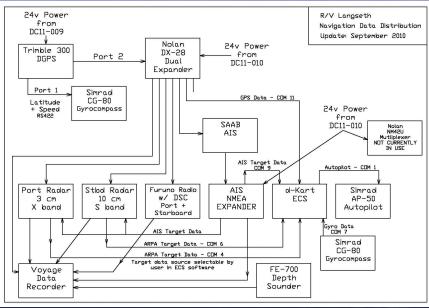
- Tag provides the serial number of the item for cross reference in a Hose Log and installation date.
- The following information should be provided on the tag and/or log:
 - Hose serial number
 - Hydrostatic Test Pressure and Test Date
 - Installation or Replacement Date





Best Practices: System Diagrams







Congratulations! Best Grub, Bilge & MOB winners







Best Line Handler Candidates:









Questions?



The sea-going naval architects.

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